1 **Short title:** More crown roots improve phosphorus acquisition

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# 7 Large crown root number improves phosphorus acquisition in maize

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- 13 **Summary**: Maize genotypes with more crown roots have superior phosphorus acquisition,
- 14 growth, and yield in low phosphorus soil.

## 15 Author contributions:

- 16 B.R.S. designed and conducted the experiments, analyzed the results, and led the writing;
- 17 Y.Z.G. contributed to the design and writing; J.P.L. conceived and designed the study,
- 18 supervised its execution, assisted with data analysis, and contributed to the writing.

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## 25 Abstract

We tested the hypothesis that maize genotypes with large crown root number 26 27 (CN) will have shallower rooting depth and improved phosphorus (P) 28 acquisition from low-P soils. Maize recombinant inbred lines with contrasting 29 CN were evaluated under suboptimal P availability in greenhouse mesocosms and the field. Under P stress in mesocosms, the large-CN phenotype had 48% 30 31 greater root respiration, 24% shallower rooting depth, 32% greater root length 32 density in the topsoil, 37% greater leaf P concentration, 48% greater leaf 33 photosynthesis, 33% greater stomatal conductance, and 44% greater shoot 34 biomass than the small-CN phenotype. Under P stress in the field, the large 35 CN phenotype had 32% shallower rooting depth, 51% greater root length density in the topsoil, 44% greater leaf P concentration, 18% greater leaf 36 37 photosynthesis, 21% greater stomatal conductance, 23% greater shoot 38 biomass at anthesis, and 28% greater yield than the small CN phenotype. These results support the hypothesis that large CN improves plant P 39 40 acquisition from low-P soils by reducing rooting depth and increasing topsoil foraging. The large CN phenotype merits consideration as a selection target to 41 42 improve P capture in maize and possibly other cereal crops.

Key words: Crown root number, phosphorus availability, maize, respiration,
 rooting depth, phosphorus capture.

### 45 Introduction

Maize (Zea mays L.) is a leading global crop, with importance for food security 46 in developing nations (Grassini et al., 2013). Suboptimal phosphorus (P) 47 availability is a primary limitation to plant growth in most agroecosystems 48 49 (Lynch and Deikman, 1998; Vance et al., 2003; Lynch, 2007). In developing countries, most smallholder farmers cannot afford mineral fertilizer, and low 50 51 soil P availability is a principal, pervasive constraint for crop production and therefore food security and economic development (Azeez et al., 2006; Worku 52 53 et al., 2007). In developed countries, intensive P fertilization sustains high 54 yields, but low utilization efficiency, limited reserves of high-grade phosphate 55 ore deposits, high energy costs of producing fertilizer as well as environmental consequences of P effluents make intensive P fertilization unsustainable in the 56 57 long term (Tilman, 2001; Zhang et al., 2008; Cordell et al., 2009). Therefore, 58 developing cultivars with improved P acquisition is an important goal for global agriculture (Lynch, 2007). 59

60 The maize root system is composed of a primary root, a variable number of seminal roots, nodal roots arising from stem nodes, and lateral roots 61 (Hochholdinger et al., 2004; Gao and Lynch, 2016). Crown roots are 62 63 belowground nodal roots, and are primarily responsible for soil resource acquisition during vegetative growth and remain important through 64 reproductive development (Hoppe et al., 1986; Hochholdinger et al., 2004; 65 Lynch, 2013; Yu et al., 2014). Crown root number (CN), consisting of the 66 number of belowground nodal whorls and the number of roots per whorl, is a 67 central feature of maize root architecture and varies from five to 62 among 68 69 maize genotypes (Bayuelo-Jiménez et al., 2011; Gaudin et al., 2011; (Bayuelo-Jiménez et al., 2011; Gaudin et al., 2011; Trachsel et al., 2011; 70 71 Burton et al., 2013; Saengwilai et al., 2014b; York and Lynch, 2015; Gao and 72 Lynch, 2016) Results from mesocosms and field studies showed that maize 73 lines with small CN had greater nitrogen and water acquisition from deep soil 74 strata under low nitrogen or drought conditions (Saengwilai et al., 2014b; Gao and Lynch, 2016). However, the utility of CN for P acquisition from P-limiting 75 soils has never been tested, and is the focus of this study. 76

77 Phosphate is relatively immobile in soil, and P availability in surface soil strata 78 is generally greater than that in subsoil strata, because of the deposition of 79 plant residues over time and the greater biological activity in surface strata (Lynch and Brown, 2001; Lynch, 2011, 2013). Root phenes associated with 80 enhanced topsoil foraging are therefore important for P acquisition (Lynch and 81 Brown, 2001; Lynch, 2011; Richardson et al., 2011). Previous studies have 82 shown that plants can improve P acquisition from low P soils through 83 increased topsoil foraging, enabled by increased root length density (Manske 84 et al., 2000) and lateral root branching (Desnos, 2008; Lynch, 2011), through 85 86 shallow root growth angles (Lynch and Brown, 2001; Ho et al., 2005; Zhu et al., 87 2005), and through reduced root metabolic costs, such as the formation of root 88 cortical aerenchyma (Fan et al., 2003; Postma and Lynch, 2011a; Postma and Lynch, 2011b), root cortical senescence (Schneider et al., 2017), root hairs 89 (Miguel, 2004; Lynch, 2011) and adventitious roots (Miller et al., 2003; Lynch 90 91 and Ho, 2005). Axial roots, the primary structural framework of root systems, 92 have particular importance in P acquisition (Lynch, 2011; Lynch, 2013). Results from greenhouse and field studies showed that common bean 93 94 (Phaseolus vulgaris) with greater basal root whorl number had shallower rooting and greater root length, and thereby improved topsoil foraging, 95 resulting in greater P acquisition and shoot biomass in low P soil (Lynch and 96 97 Brown, 2012; Miguel et al., 2013). However, little information is available regarding how axial root number in Poaceae species responds to P stress and 98 affects P capture. 99

100 Axial roots of annual dicot and monocot crop species have important differences that may affect the costs and benefits of axial root production for P 101 capture. They are morphologically and developmentally distinct, as the 102 103 majority of axial roots in monocots arise from shoot tissue, whereas axial roots 104 in dicots consist primarily of the primary root and dominant lateral roots arising 105 from it. For example, it was recently shown that the rate of secondary development in axial roots varies among genotypes of common bean 106 (Phaseolus vulgaris), a dicot species, and that genotypes with reduced 107 108 secondary development had greater P capture from low P soils (Strock et al., 109 2017). This adaptation to low P availability is not possible in monocot species, 110 which lack secondary growth. The lack of secondary growth in monocots means that their axial roots are not as protected against biotic stress, which 111 affects root longevity and therefore resource capture (Lynch, 2018). Because 112 monocots lack secondary growth, their root cortical tissue is more persistent 113 than in monocots, which has implications for P capture. For example, the 114 115 formation of root cortical aerenchyma in axial roots is more advantageous for P capture in maize than in bean (Postma and Lynch, 2011a). Root cortical 116 117 senescence may also increase P capture in monocot species (Schneider et al., 118 2017), but this process is not known to occur in dicots. The persistence of the root cortex also affects mycorrhizal symbioses, which are important for P 119 120 capture, but require living cortex as habitat. Many dicot species have a 121 dominant primary root, which with its laterals comprise the basic architectural 122 phenotype. In contrast, in monocot species axial roots are continually 123 produced from shoot nodes at or above the soil surface, which descend 124 downward over time. These contrasting architectural strategies have important 125 implications for the spatiotemporal dynamics of topsoil foraging and thereby for 126 the acquisition of P, since the formation of new roots in dicots generally takes place as laterals of existing roots in deeper soil domains, which may have low 127 128 P availability, whereas in monocots new roots form at or above the soil surface from shoot nodes, so that the topsoil is continuously explored throughout 129 130 phenology. In addition, axial roots of monocot crops generally produce less root exudates capable of solubilizing P pools in the rhizosphere (Hinsinger et 131

132 al., 2011; Li et al., 2014), and have less mycorrhizal symbioses (Shen et al., 2011) than dicot crops. These factors can affect the costs and benefits of axial 133 root production for P capture, resulting in different strategies to improve P 134 135 acquisition. A survey of seven major crop species in response to P limitation 136 found that monocots generally has morphological adaptations to P stress, while dicots, and especially legumes, primarily showed physiological 137 138 adaptations to P stress, such as root exudate production (Lyu et al., 2016). Therefore, the utility of axial root number of Poaceae species for P capture in 139 low P soil is uncertain, and whether the changes in axial roots production could 140 improve topsoil exploration and thereby P acquisition and yield merits 141 investigation. 142

The production of axial roots is a key element of root phenotypes, and is 143 144 particularly important for the balance between the capture of mobile and immobile resources (Lynch, 2013). Results from mesocosms and the field 145 showed that small CN was beneficial for nitrogen and water acquisition in 146 147 conditions of suboptimal N or water availability Saengwilai et al., 2014b; Gao and Lynch, 2016). This can be attributed to the fact that the formation of a 148 149 small number of crown roots can decrease interplant competition for soil resources, reduce metabolic costs and allocate extra metabolic resources for 150 root elongation, thereby improving subsoil foraging for mobile resources like 151 152 nitrogen and water, whose availability is greater in the subsoil in most 153 agroecosystems (Saengwilai et al., 2014b; Lynch, 2015; Gao and Lynch, 154 2016). However, this may be disadvantageous for the capture of immobile soil resources like phosphorus, because of greater P bioavailability in shallow soil 155 strata (Lynch, 2011; Lynch, 2013). On the other hand, production of a large 156 number of crown roots can increase the sink strength of root systems, promote 157 the development of root length and thereby improve soil resource acquisition, 158 159 especially for P, whose acquisition mostly occurs <1 mm from the surface of a root and the intraplant and interplant competition is quite small (Nye and Tinker 160 1977; Varney and Canny, 1993; Miguel et al., 2013; Postma et al., 2014). 161 162 Following the economic paradigm of plant resource allocation (Lynch and Ho, 2005), root construction and maintenance requires metabolic investment, 163 164 which can exceed 50% of daily photosynthesis (Lambers et al., 2002). Thus, 165 the metabolic costs of root construction and maintenance for a larger CN may 166 potentially weaken the elongation of crown roots into deep soil strata and increase root distribution in surface soil strata (Gao and Lynch, 2016). While 167 this may be disadvantageous for the capture of mobile soil resources like 168 water and nitrogen (Saengwilai et al., 2014b; Zhan and Lynch, 2015; Zhan et 169 170 al., 2015; Gao and Lynch, 2016), they will facilitate subsoil foraging for the immobile resources like P. Therefore, an intermediate number of crown roots 171 may be ideal to co-optimize the mobile and immobile resources acquisition, 172 and the optimum range of CN is likely to be greater in soils of low P availability 173 174 (Lynch, 2013), although this hypothesis has not been tested empirically.

175 The objective of this study was to test the hypothesis that maize genotypes

with a large number of crown roots will have greater topsoil exploration, and
therefore better P acquisition under suboptimal P availability, resulting in better
plant growth and yield. To test this hypothesis, we compared the performance
of maize recombinant inbred lines (RILs) sharing a common genetic
background but having contrasting CN under contrasting P availability in
greenhouse mesocosms and the field.

### 183 **Results**

## 184 Phosphorus stress effects on soil P availability

185 Phosphorus distribution in the mesocosms was stratified, and soil P availability (mg kg<sup>-1</sup> dry soil) in the topsoil (0-20 cm) at 35 DAP was significantly greater 186 than in the subsoil (20-140 cm), regardless of P regime. Compared to high P, P 187 availability under low P was reduced by 92% in the topsoil and 64% in the 188 189 subsoil (Fig. S2A). In the field, soil P availability at 0-10 and 10-20 cm was 42.7 and 21.9 mg kg<sup>-1</sup> respectively under high P, and 7.3 and 4.4 mg kg<sup>-1</sup> 190 respectively under low P. No significant difference was found between high 191 and low P treatments in the subsoil (20-60 cm) with soil P availability varying 192 from 0.5 to 1.1 mg kg<sup>-1</sup> (Fig. S2B). 193

## 194 Phosphorus stress effects on crown root number (CN)

Crown root number was significantly affected by P availability, phenotype and 195 their interactions (Tables S1, S2). For both greenhouse and field experiments, 196 197 CN, especially under high P, was significantly greater in large-CN phenotypes 198 than in small-CN phenotypes (Fig. 1). When the comparison was done within 199 each population, the large CN genotypes had significantly greater CN than the small CN genotypes under P deficiency, except IBM133 and NYH57 in the 200 greenhouse and IBM133 and OHW170 in the field (Fig. S3). Phosphorus 201 stress dramatically reduced CN for both phenotypes, by an average of 22% at 202 203 35 DAP in greenhouse mesocosms and 26% at anthesis in the field (Fig. 1). The effect of P availability on CN by nodal position differed in mesocosms and 204 field conditions. In mesocosms, P stress did not influence the number of crown 205 roots in the first, second and third nodes but significantly reduced the number 206 of crown roots of the fourth, fifth and sixth nodes, and there was no sixth node 207 development under P deficiency for either phenotype. The large-CN 208 209 phenotype had significantly greater CN than the small-CN phenotype in the fifth node under both P regimes and in the sixth node under high P (Fig. 2A). In 210 211 the field, CN of the third, fifth, sixth and seventh nodes of the large-CN 212 phenotype was significantly reduced by P stress, while that of the small-CN phenotype was dramatically reduced from the second to the sixth node. Under 213 high P, the small-CN phenotype had significantly less CN in the sixth node and 214 no seventh node development relative to the large-CN phenotype; while under 215 low P, CN of the small-CN phenotype was significantly less than that of the 216 large-CN phenotype in the second and fourth nodes, and no seventh node 217 developed for either phenotype (Fig. 2B). 218

## 219 CN effects on topsoil root length density and rooting depth

Under P deficiency, the large-CN phenotype proliferated more roots in the topsoil (mesocosms: 0-20 cm, by 32%; field: 0-10 cm, by 51%), and had shallower rooting depth ( $D_{75}$ , the depth above which 75% of total root length is located in the soil profile) by 24% in mesocosms and 32% in the field, as compared with the small-CN phenotype (Fig. 3B, D). However, there was no



Fig. 2. crown root number per node of maize at 35 UAP in greenhouse mesocosms (A) and at anthesis in the text (b) under high and low P. The data shown are means of four replications of four genotypes (± SE) in each phenotypic class of either large CN or small CN. Different letters represent significant differences (P ≤ 0.05) compared within each node, HP = high P, UP = low P, LCN = large CN, SCN = small CN.

significant difference between large and small CN phenotypes in topsoil root length density or D<sub>75</sub> under high P (Fig. 3A, C). Under low P, CN was significantly associated with rooting depth (mesocosms:  $R^2 = 0.7762$ , P =

0.0038; field:  $R^2 = 0.6411$ , P = 0.0170) and root length density in the topsoil

(mesocosms:  $R^2 = 0.6759$ , P = 0.0123; field:  $R^2 = 0.8579$ , P = 0.0009; Fig. 4).

230 CN effects on leaf photosynthesis and root respiration

Phosphorus availability, phenotype and their interactions had significant effects 231 on leaf photosynthetic rate, stomatal conductance and root respiration (Tables 232 S1, S2). Regardless of CN phenotype, P deficiency significantly reduced leaf 233 234 photosynthetic rate, stomatal conductance and root respiration. Under low P, phenotypes with large CN had 48% (greenhouse) and 18% (field) greater leaf 235 photosynthesis, 33% (greenhouse) and 21% (field) greater stomatal 236 237 conductance, and 48% (greenhouse) greater root respiration than phenotypes with small CN. However, no significant difference was found between large-CN 238 239 and small-CN phenotypes in leaf photosynthetic rate, stomatal conductance or 240 root respiration under high P (Fig. 5).

# 241 CN effects on tissue P concentration, P acquisition and P acquisition efficiency

Leaf P concentration, plant P acquisition and P acquisition efficiency of both phenotypes were dramatically reduced by P stress (Tables S1, S2; Figs. 6, 8). Under P deficiency, P concentration, P acquisition and P acquisition efficiency of all large CN genotypes were significantly greater than that of small CN genotypes (Figs. S4 and S5), and the large CN phenotype had 37%



(greenhouse) and 44% (field) greater leaf P concentration, 97% (greenhouse) 247 248 greater P acquisition, and 86% (greenhouse) greater P acquisition efficiency than phenotype with small CN (Tables S1, S2; Figs. 6, 8). Leaf P concentration 249 (mesocosm:  $R^2 = 0.5796$ , P = 0.0282; field:  $R^2 = 0.5672$ , P = 0.0310), plant P 250 acquisition ( $R^2 = 0.6105$ , P = 0.0220) and P acquisition efficiency ( $R^2 = 0.4834$ , 251 P = 0.0556) under P deficiency were closely associated with CN (Figs. 7, 9). 252 However, there was no significant difference between two phenotypes in leaf P 253 concentration, P acquisition and P acquisition efficiency under high P (Figs. 6, 254

255 **8**).

## 256 CN effects on shoot biomass and grain yield

Phosphorus stress reduced shoot biomass and grain yield, and the reductions 257 in the small-CN phenotype (shoot biomass: 51% in mesocosms and 35% in 258 the field; yield: 46%) were greater than that in the large-CN phenotype (shoot 259 260 biomass: 32% in mesocosms and 24% in the field; yield: 33%) (Tables S1, S2; Fig. 10). Under P deficiency, all large CN populations had greater shoot 261 biomass and grain yield than the small CN populations (Fig. S6), and when the 262 comparison was made between phenotypes, the large CN phenotype had 44% 263 (greenhouse) and 23% (field) greater shoot biomass and 28% greater grain 264 yield than the small CN phenotype (Tables S1, S2; Fig. 10). Both shoot 265 biomass (mesocosm:  $R^2 = 0.5771$ , P = 0.0288; field:  $R^2 = 0.6068$ , P = 0.0227) 266 and grain yield ( $R^2 = 0.7625$ , P = 0.0046) under P deficiency were closely 267 associated with CN (Fig. 11). 268

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Fig. 3. Root length density (cm cm<sup>-+</sup>) of maize at 35 DAP in greenhouse mesocosms under high phosphorus (A) and low phosphorus (B), and at anthesis in the field under high phosphorus (C) and low phosphorus (D). The data shown are means of four replications of four genotypes in each phenotypic category ( $\pm$  SE). The average values of D<sub>15</sub> for four replications of four larger CN and four small CN genotypes are shown in each panel. 'P  $\leq$  0.05, '\*P  $\leq$  0.01, LCN = large CN, SCN = small CN

#### 270 Discussion

Our results support the hypothesis that a large number of crown roots 271 improves P acquisition by maize under P stress by increasing topsoil 272 exploration (Figs. 3-4 and 6-9). Phenotypes differed in their CN under P stress 273 (Figs. 1 and 2), lines with many crown roots had shallower rooting depth (Figs. 274 3 and 4), greater root length density in the topsoil (Figs. 3 and 4), greater P 275 acquisition efficiency and P acquisition (Figs. 6-9), and therefore greater leaf 276 photosynthesis and stomatal conductance (Fig. 5), biomass production, and 277 278 yield (Figs. 10 and 11) than lines with few crown roots.

Lynch (2011) proposed that a greater number of axial roots may improve 279 topsoil foraging and optimize P capture from low-P soils. Our results support 280 281 the inclusion of large crown root number as an effective phene state for 282 improved soil exploration and P acquisition under suboptimal P availability (Figs. 3-4, 6-9). We obtained comparable results from P stress treatments in 283 two distinct environments, greenhouse mesocosms and the field. In the 284 greenhouse, we used mesocosms to create P-stratified environments 285 comparable to conditions in agricultural soils. Mesocosms are simplified, 286 287 controlled environments, allowing detailed investigations of root physiological 288 traits and root distribution by depth, since entire root systems can be easier 289 excavated than the field study. The field experiment includes many 290 environmental factors that may affect results. For example, mycorrhizal symbioses can increase P acquisition, extending soil exploration via the 291 formation of mycorrhizal hyphae (Shen et al., 2011). The physical properties of 292



from 0-20 cm soil depth of maize at 35 DAP in greenhouse mesocosms (A, C), and from 0-10 cm soil depth at anthesis in the field (B, D) under low phosphorus conditions. Each point is the mean of four replications of each genotype (± SE).

soil, such as impedance, structure, and texture, can independently or 293 interactively influence root growth and thereby nutrient acquisition and yield 294 production (Jin et al., 2013). We used RILs, which are particularly suited for the 295 analysis of phenotypic traits governed by multiple genes, as is the case for CN 296 297 in maize (Burton et al., 2014; Zhang et al. 2018). RILs within a population 298 share a common genetic background (i.e. they descend from the same two 299 parents) with no artificially induced mutations or transformation events, and the comparisons of several RILs from multiple populations enables the analysis of 300 a phenotype in distinct genomes, which can minimize the risk of confounding 301 302 effects from pleiotropy, epistasis, or other genetic interactions (Zhu and Lynch, 303 2004). Our results show that IBM133 and NYH57 in the greenhouse and 304 IBM133 and OHW170 in the field had an intermediate CN under P deficiency (Fig. S3), but this did not substantially affect results: regardless of whether or 305 not these genotypes were classified as having large CN, small CN, or were 306 307 excluded entirely from the analyses, category means for large CN and small CN phenotypes under P deficiency were comparable for photosynthetic rate, 308 309 stomatal conductance, root respiration, root length density in the topsoil, 310 rooting depth, leaf P concentration, plant P acquisition, P acquisition efficiency, shoot biomass, and grain yield (Table S3). The fact that results from two 311 distinct environments with 3 different sets of RILs are in agreement with each 312 313 other is noteworthy and indicates that the utility of CN for P capture is independent of potentially confounding factors of any given environment and 314 the specific genotypic context. 315



Fig. 5. Leaf protosyntresis (µmol CU<sub>2</sub> m<sup>+</sup> s<sup>-</sup>) and leaf stomatal conductance (µmol H<sub>2</sub>O m<sup>+</sup> s<sup>-</sup>) of maize at 35 DAP in greenhouse mesocosms (A, C), at anthesis in the field (B, D) as well as root respiration (nmol CO<sub>2</sub> cm<sup>+</sup> s<sup>-1</sup>) of maize at 35 DAP in greenhouse mesocosms (E) under high and low P. The data shown are means of four replications of four genotypes in each phenotypic category (± SE). Different letters represent significant differences compared within a panel at the level of  $\alpha = 0.05$ . HP = high P. LP = low P. LCN = large CN, SCN = small CN.

Plant strategies to acquire P are oriented around two basic themes: soil 316 exploration and mobilization of P from poorly available P pools in the 317 rhizosphere (Lynch, 2011). Phosphorus mobilization mainly depends on the 318 root-induced exudation of P mobilizing compounds, such as protons, organic 319 320 acids and phosphatases (Hinsinger, 2001; Shen et al., 2011). Root architecture, the spatial configuration of the root system, determines the 321 exploration and exploitation of localized P resources by the plant, the 322 323 distribution of roots relative to their neighbors within and among root systems, as well as the placement and functional benefit of root exudates in specific soil 324 325 domains, and is therefore particularly important for P acquisition (Lynch, 1995, 2011; Lynch and Brown, 2001; Miguel et al., 2013). In maize, crown roots are 326 327 the majority of axial roots in the root system, contribute 60-80% of root biomass, and form the primary structural framework from which lateral roots 328 emerge. The number of crown roots (CN), a central feature of maize root 329 architecture, is an important regulator in soil resource capture by lateral roots 330 and root symbionts (Lynch, 2013; Saengwilai et al., 2014b; Gao and Lynch, 331



332 **2016**).

333 The three primary soil resources that limit plant growth in most soils are N, P, and water. Water and N in the form of nitrate are highly mobile and tend to 334 335 localize in deeper soil strata over time (Lynch and Wojciechowski, 2015; 336 Thorup-Kristensen and Kirkegaard, 2016), whereas P is highly immobile and 337 has greatest bioavailability in the topsoil (Lynch, 2011). It has previously been shown that reduced CN in maize is beneficial for the capture of water (Gao and 338 339 Lynch, 2016) and N (Saengwilai et al., 2014b), by reducing the metabolic costs of soil exploration, resulting in greater rooting depth and thus greater capture 340 of deep soil resources by remaining axial roots. The present data support the 341 342 hypothesis that in contrast to water and N, P capture is improved by maize phenotypes with larger CN. This is a clear tradeoff: the optimal CN phenotype 343 for P capture is opposite to the optimal CN phenotype for capture of water and 344 345 N. Tradeoffs for the capture of mobile and immobile resources are evident for several root architectural phenes in maize. Shallow root growth angles favor 346 347 topsoil foraging and P capture (Lynch, 2011), whereas steep root growth 348 angles favor subsoil foraging and the capture of water (Ho et al., 2005) and N



Fig. 11. Correlations between crown root number and shoot biomass of maize at 35 DAP in greenhouse mesocosms (A) and at anthesis in the field (B), and grain yield at maturity in the field (C) under low P conditions. Each point is the mean of four replicates of each genotype ± SE.

349 (Trachsel et al., 2013; Dathe et al., 2016). Dense lateral root branching promotes P capture (Postma et al., 2014), whereas sparse lateral branching 350 promotes the capture of water (Zhan et al., 2015b) and N (Zhan and Lynch, 351 2015a). Anatomical phenes that reduce the volume of living cortical 352 parenchyma, like RCA, RCS, and reduced CCFN, are beneficial for water 353 capture but may reduce symbiotic P capture by reducing mycorrhizal habitat. 354 Anatomical phenes that reduce the metabolic cost of soil exploration should 355 have benefits for the capture of both mobile and immobile resources. For 356 example, RCA is beneficial for the capture of the mobile resources water (Zhu 357 et al., 2010; Chimungu et al., 2015) and N (Saengwilai et al., 2014a) while also 358 359 being beneficial for the capture of the immobile resources P and K (Postma 360 and Lynch, 2011a; Postma and Lynch, 2011b). Root hairs are useful for 361 capture of P (Bates and Lynch, 2000a; Miguel et al., 2015) as well as water (Carminati et al., 2017), while incurring little direct metabolic cost (Bates and 362 Lynch, 2000b)) These tradeoffs in root form and function may account for the 363 large phenotypic variation among crop genotypes, and suggest that for crop 364 breeding programs, optimal root phenotypes should be identified for specific 365 agroecologies (Lynch, 2018). 366

367 Results from greenhouse mesocosms and the field showed that root length density in the subsoil, where most water and nitrogen is distributed, was 368 significantly increased under drought and nitrogen deficient conditions, 369 resulting in improved water and nitrogen acquisition and plant growth (Zhan et 370 al., 2015; Zhan and Lynch, 2015; Gao and Lynch, 2016). In the present study, 371 the large-CN phenotype had 32% (greenhouse) and 51% (field) greater root 372 length density in surface soil strata, where P availability is greatest, than the 373 small-CN phenotype under P stress (Fig. 3 and Fig. 4), which dramatically 374 increased topsoil exploration and thereby improved P acquisition, shoot 375 biomass and grain yield (Fig. 6, Fig. 7, Fig. 8, Fig. 9, Fig. 10, Fig. 11). 376

Plants under P stress cannot simply grow more roots throughout the soil profile
without regard for the costs of root growth and exploration (Lynch and Ho,
2005; Miguel et al., 2013, Lynch, 2015), but need to balance the metabolic
allocations and tradeoffs among roots to optimize plant growth (Walk et al.,
2006; Rubio and Lynch, 2007; Gao and Lynch, 2016). Walk et al. (2006) found



significant differences within a panel at the level of  $\alpha$  = 0.05. HP = high P, LP = low P, LCN = large CN, SCN = small CN.

that adventitious rooting of common bean (Phaseolus vulgaris) reduced the 382 growth of tap and basal lateral roots, yet improved P acquisition by up to 10% 383 in stratified soil. The removal of a specific root class induced an increase in the 384 growth of the remaining root classes (Rubio and Lynch, 2007). In maize, under 385 drought or nitrogen stress, reduced production of crown roots can conserve 386 internal plant resources by reducing intra-plant root competition and metabolic 387 costs, and thereby promote the remaining crown root axes to elongate rapidly, 388 389 resulting in greater root depth, increased subsoil foraging for water or nitrogen 390 and thus improved plant growth (Saengwilai et al., 2014b; Lynch, 2015; Gao 391 and Lynch, 2016). In the present study, the large-CN phenotype had significantly more crown roots than small-CN phenotype under P stress, and 392 the differences were mainly originated from fifth node in the greenhouse and 393 from the second and fourth nodes in the field (Fig. 1 and Fig. 2), indicating that 394 crown root number in later maturing nodes play crucial roles in plant P 395 acquisition and yield production in late vegetative and reproductive growth. 396 With increasing CN, the metabolic costs of root construction and maintenance 397 was significantly greater (Fig. 5 and S7), and thus the resources available for 398 axial root elongation were probably reduced (Lynch, 2013; Saengwilai et al., 399 400 2014b; Gao and Lynch 2016). Therefore, the large-CN phenotype had 401 shallower rooting depth and less root length density in subsoil than the small-CN phenotype under P deficiency (Fig. 3 and Fig. 4). 402

Accumulating evidence indicates that plants with shallow rooting depth have 403 growth advantages in P acquisition and yield production over deep-rooted 404 405 cultivars under P stress (Lynch and Beebe, 1995; Bonser et al., 1996; Ge et 406 al., 2000; Liao et al., 2001; Lynch and Brown, 2001; Ho et al., 2005; Zhu et al., 407 2005; Heppell et al., 2015), and topsoil foraging is one of the most important 408 ways to improve plant fitness under suboptimal P availability (Lynch and Brown, 2001; Zhu et al., 2005; Lynch, 2011). In this study, results from both 409 mesocosms and the field clearly showed that the shallowness of rooting depth 410 411 of the large-CN phenotype was associated with improved P capture and thereby plant growth and yield in low P soils (Fig. 3, Fig. 4, Fig. 6, Fig. 7, Fig. 8, 412 Fig. 9, Fig. 10, Fig. 11). Our results agree with previous studies which showed 413



greenhouse mesocosm (A), and at anthesis in the field (B) under low P conditions. Each point is mean of four replicates of each genotype ± SE.

that the increased number of basal roots in common bean reduced internal
resources available to individual basal root axes, slowed root elongation into
deeper soil domains, and thus improved P acquisition and plant growth in low
P soils (Walk et al., 2006; Rubio and Lynch, 2007; Miguel et al., 2013).
Therefore, a large number of crown roots is a positive adaption to P stress in

419 maize.

The rhizoeconomic paradigm indicates that plant fitness under water- and 420 421 nutrient-limiting conditions is influenced by the balance between the benefits 422 and the costs of root traits as direct metabolic costs, tradeoffs, opportunity costs and increased risks (Lynch and Ho, 2005; de Kroon and Mommer, 2006; 423 Lynch, 2015), and the metabolic costs of root construction and maintenance 424 are substantial (Lambers et al., 2002; Zhu et al., 2005). Previous studies have 425 shown that reduced formation of crown roots can significantly reduce 426 metabolic costs in root construction and maintenance, and more metabolic 427 resources can be conserved for root elongation and water and nitrogen 428 429 capture (Saengwilai et al., 2014b; Gao and Lynch 2016). However, in the case 430 of suboptimal P availability, greater investment in axial root production slows axial root elongation, which is useful since P is immobile and enriched in the 431 432 surface soil strata. As shown in the present study, although increased production of crown roots increased root respiration (Fig. 5 and Fig. S7), the 433 large-CN phenotype was superior to the small-CN phenotype in adapting to P 434 stress (Fig. 6, Fig. 7, Fig. 8, Fig. 9, Fig. 10, Fig. 11). Metabolic resources 435 allocated to root growth and elongation into deep soil were significantly 436 reduced, and root length density in the subsoil was decreased while that in the 437 topsoil was significantly increased (Fig. 3 and Fig. 4), resulting in improved 438 439 topsoil exploration and P acquisition (Fig. 8 and Fig. 9). Phosphorus is one of the important elements influencing photosynthesis, and P acquisition 440 441 improvement can significantly increase net rate of photosynthesis, which is positively associated with the growth and yield of crop plants (Terry and Ulrich, 442 443 1973; Raghothama, 1999; Gastal and Lemaire, 2002). Therefore, the large-CN phenotype had substantially improved leaf photosynthesis, shoot biomass and 444 grain yield, although the metabolic costs were increased (Fig. 5, Fig. 6, Fig. 7, 445 Fig. 8, Fig. 9, Fig. 10, Fig. 11). 446



four replications of four genotypes in each phenotype category  $\pm$  SE. Different letters represent significant differences within a panel at the level of  $\alpha$  = 0.05. HP = high P, LP = low P, LCN = large CN, SCN = small CN.

CN in maize varies greatly among genotypes and resource levels from five to 447 448 62 (Bayuelo-Jiménez et al., 2011; Gaudin et al., 2011; Trachsel et al., 2011; Burton et al., 2013; Saengwilai et al., 2014b; York et al., 2015; Gao and Lynch, 449 2016), and our range of CN (24-52) falls in the medium to high range of 450 phenotypic variation observed. Moreover, CN is a heritable trait (Hetz et al., 451 452 1996; Burton et al., 2014), and genes affecting CN expression have been identified (Hetz et al., 1996; Taramino et al., 2007; Muthreich et al., 2013), 453 454 making CN a feasible target for plant breeding. Although this study focused on maize, we suggest that the phenotype of large CN would improve P capture in 455 other Poaceae species, e.g. sorghum, whose root system architecture is 456 457 similar to that of maize (Lynch, 2013). Other graminaceous species such as wheat, rice, barley and oats have the same basic root structure as maize and 458 may also benefit from the optimal CN, although greater density of nodal roots 459 460 in tillering species may change the relationship of nodal root occupancy and resource capture. This merits investigation. Our results are entirely consistent 461 with the hypothesis that large CN phenotype have shallower rooting depth and 462 greater root length density in topsoil (Fig. 3 and Fig. 4), resulting in greater P 463 464 acquisition from topsoil, improved growth and yield under P deficiency (Fig. 6, Fig. 7, Fig. 8, Fig. 9, Fig 10, Fig. 11). Therefore, we suggest that crown root 465 466 number merits consideration as a potential trait to improve plants tolerance to P deficiency in crop breeding programs. 467

#### 468 Materials and Methods

### 469 **Plant materials**

470 Eight genotypes of maize (Zea mays L.) were selected from three recombinant 471 inbred line (RIL) populations, RILs IBM 133 and 097 from the intermated 472 population of B73×Mo17 (IBM), and OHW3, 61, 74, and 170 from the RIL population of Oh43×W64a (OHW), and NYH 51 and 57 are from the RIL 473 population of Ny821×H99 (NYH). Previous studies showed that these 474 genotypes presented contrasting crown root number: IBM133, OHW3, 475 OHW170 and NYH57 with large crown root number, and IBM097, OHW61, 476 477 OHW74 and NYH51 with small crown root number (Bayuelo-Jiménez et al., 2011; Gaudin et al., 2011; Burton et al., 2013; Saengwilai et al., 2014b; York et 478



Fig. 9. Correlations between crown roor number and r acquisition (mg plant.) (A) and P acquisition enciency (g m<sup>3</sup> root length plant.<sup>1</sup>) (B) of maize at 35 DAP in greenhouse mesocosm under low P conditions. Each point is means of four replicates of each genotype ± SE.

al., 2015; Gao and Lynch, 2016). All seeds were obtained from Dr. Shawn
Kaeppler (University of Wisconsin, Madison, WI, USA).

## 481 Greenhouse mesocosm study

### 482 Experimental design

The greenhouse experiment was a randomized complete block design. The factors were two phosphorus regimes (high and low phosphorus conditions), eight genotypes and four replications. Planting was staggered seven days between replicates with time of planting treated as a block effect.

## 487 Growth conditions

Plants were grown from May 16 to June 20 2016 in a greenhouse located on 488 489 the campus of Pennsylvania State University in University Park, PA, USA (40°48' N, 77°51' W), with a photoperiod of 14/10 h at 28/24 °C 490 (light/darkness), 1200  $\mu$ mol photons m<sup>-2</sup> s<sup>-1</sup> maximum PAR, and 40-70% 491 relative humidity. Seeds of uniform size were surface-sterilized in 0.05% 492 493 NaOCI for 15 min and imbibed for 24 h in aerated 1 mM CaSO<sub>4</sub>, then placed in darkness at 28 ± 1 °C for two days. Seedlings of similar size were transplanted 494 to mesocosms consisting of PVC cylinders 15.7 cm in diameter and 155 cm in 495 height. The cylinders were lined inside with plastic sleeves made of 4 mil 496 (0.116 mm) transparent hi-density polyethylene film, which were used to 497 498 facilitate root sampling. The growth medium consisted of (by volume) 50% medium size (0.5-0.3 mm) commercial grade sand, 30% horticultural size #3 499 vermiculite, 5% perlite and 15% sieved low P topsoil. The topsoil was collected 500 from the Russell E. Larson Agricultural Research Center in Rock Spring, PA 501 (Fine, mixed, semiactive, mesic Typic Hapludalf, pH 6.7, silt loam, P availability 502 (Mehlich) 5.56 mg kg<sup>-1</sup>). A uniform volume (29 L) of the mixture was used in 503 504 each cylinder to ensure a consistent bulk density of the medium. Each cylinder 505 was filled with medium to 5 cm from the surface and stratified into two layers, which were separated at 25 cm depth from the surface of the cylinder, with the 506 507 upper layer 20 cm thick (5-25 cm depth) and the bottom layer 130 cm thick (25-155 cm depth). For the upper layer, phosphorus availability of the low and 508 high phosphorus treatments was maintained at 60 ppm and 800 ppm, 509

respectively, by mixing the media with TSP (triple superphosphate, whose main component is  $Ca(H_2PO_4)_2 \cdot H_2O$ , with P content of about 20.1%) fertilizer; for the bottom layer, no phosphorus was applied in either phosphorus treatment.

514 One day before transplanting, each mesocosm was saturated with 4.0 L of a nutrient solution adjusted to pH 6.0 and consisting of (in  $\mu$ M): N (16000), K 515 516 (6000), Ca (4000), S (1000), Mg (1000), Cl (50), B (25), Mn (2.0), Zn (2.0), Cu (0.5), Mo (0.5) and EDTA-Fe (50). Three plants were transplanted to each 517 518 cylinder and thinned to one after 5 days. Following transplanting, plants were 519 irrigated with 300 ml per mesocosm of the nutrient solution every two days for 520 the first 10 days via drip irrigation using a DI-16 Dosatron fertilizer injector (Dosatron International Inc., Dallas, TX, USA), and 300 ml of nutrient solution 521 522 was applied daily thereafter.

### 523 Sampling and measurements

<sup>524</sup> Plants were harvested 5 weeks after transplanting. Two days before harvest, <sup>525</sup> net photosynthesis rate of the youngest fully expanded leaf was measured with <sup>526</sup> a Licor-6400 Infrared Gas Analyzer (Li-Cor Biosciences, Lincoln, NE, USA) <sup>527</sup> using a red-blue light at PAR intensity of 1200  $\mu$ mol photons m<sup>-2</sup> s<sup>-1</sup>, constant <sup>528</sup> CO<sub>2</sub> concentration of 400 ppm, 25 °C leaf temperature, and 40% relative <sup>529</sup> humidity.

The youngest fully expanded leaf was sampled and oven-dried. Tissue samples were ashed at 495 °C for 15 h, dissolved in 8 ml of 100 mM HCl and then analyzed for P concentration spectrophotometrically (Murphy and Riley, 1962). Shoots were severed at the soil surface, then oven-dried at 70 °C for 72 h for biomass determination. Roots were extracted by rinsing the media with water. All nodal roots emerging belowground were classified as crown roots. Crown root number in each nodal whorl was counted manually.

- Root respiration of three 10-cm root segments from the second whorl of crown roots (8 cm from the base) was measured. Excised root samples were patted dry and placed in a 40 ml custom chamber connected to the Li-6400 IRGA. The temperature of the chamber was maintained at  $25 \pm 1^{\circ}$ C using a water bath while respiration was measured. Carbon dioxide evolution from the root segments was recorded every 5 seconds for 180 seconds.
- Root length distribution was measured by cutting the root system into 8 543 segments in 20 cm depth increments. Roots from each increment (preserved 544 in 75% EtOH) were spread in a 5 mm layer of water in transparent plexiglass 545 trays and imaged with a flatbed scanner equipped with top lighting at a 546 resolution of 23.6 pixels mm<sup>-1</sup> (600 dpi). Total root length for each segment 547 was quantified using WinRhizo Pro (Regent Instruments, Québec, Canada). 548 Following scanning the roots were dried at 70 °C for 72 h and weighed. To 549 550 summarize the vertical distribution of the root length density we used the  $D_{75}$ , 551 i.e. the depth above which 75% of the root length was located.

## 552 **Field experiment**

## 553 Growth conditions and experimental design

554 The field experiment was conducted during May to September in 2016 at the 555 Russell E. Larson Agricultural Research Center of The Pennsylvania State 556 University at Rock Spring, PA (40°43'N, 77°56'W). The soil was a Hagerstown silt loam (fine, mixed, mesic Typic Hapludalf). Based on soil analysis at the 557 558 beginning of the cropping season, P fertilizers were applied at the rate of 78.5 kg P ha<sup>-1</sup> for high-P plots, while low P plots received no P fertilizer. Other 559 nutrients were adjusted to meet the requirements for maize production as 560 561 determined by soil tests. Pest control and irrigation were carried out as needed. 562

A randomized complete block design with a split-plot arrangement of treatments was employed. The main plot was high and low P levels, and the subplot was treated with eight genotypes. There were four biological replications for each treatment. Each plot consisted of three rows, and each row had 18 plants grown with 0.76 m inter-row spacing and 0.23 m in-row spacing, resulting in a plant population of 57,000 plants ha<sup>-1</sup>.

### 569 Sampling and measurements

Shoots and roots were harvested at anthesis (ca. 80 days after planting). Two days before harvest, net photosynthesis rate (Pn) of the ear leaf was measured as described above except PAR intensity was set to 1800  $\mu$ mol photons m<sup>-2</sup> s<sup>-1</sup>, with a constant CO<sub>2</sub> concentration of 400 ppm, leaf temperature of 25 °C, and relative humidity of 40%.

575 Two adjacent plants were randomly selected in the central row per replicate. The ear leaves were sampled, oven-dried and then ground for tissue P 576 analysis. Shoots were severed at the soil surface, oven-dried at 70 °C for 72 h 577 578 before dry weight determination. Roots were excavated by removing a soil 579 cylinder ca. 40 cm diameter and 25 cm depth with the plant base as the 580 horizontal center of the soil cylinder. A large portion of soil was removed from 581 roots by careful shaking. The remaining soil was removed by soaking the roots 582 in diluted commercial detergent followed by vigorously rinsing with water. Because two representative root crowns within a plot usually appear to be 583 homogeneous, only one clean root crown was selected for phenotyping. 584 Crown root number in each nodal whorl was measured by counting. 585

586 Root distribution was measured by soil coring (Giddings Machine Co., Windsor, CO, USA). One soil core 5 cm diameter and 60 cm length were taken midway 587 between plants within a row in each plot. Each soil core was subdivided into 10 588 589 cm segments, and roots were extracted from each segment and washed. Subsequently the washed roots were scanned with image processing software 590 WinRhizo Pro (Regent Instruments, Québec, Canada) to obtain root length in 591 each soil depths. Root distribution in the soil profiles was calculated as 592 described above, and roots were then oven dried at 70 °C for 80 h, and dry 593

594 weight was determined.

595 At physiological maturity (ca. 127 days after planting), grain yield was collected 596 from 6 plants per plot, and calculated at zero water content after drying at 597 75 °C for 100 h.

# 598 Data analysis

Statistical analyses were performed using SPSS 17.0 (SPSS Inc., Chicago, IL, 599 600 USA). Normality and homogeneity of variances were tested for all the data with 601 Shapiro-Wilk tests. Two-way ANOVA was used to assess the effects of high 602 and low CN lines, P levels and their interactions, with block as a random factor. 603 Duncan's multiple range test was used for multiple comparisons. Differences 604 of soil P availability in the same soil depth between high P and low P and root 605 length density in the same soil depth between high CN and low CN genotypes 606 were analyzed by t-test. Linear regressions and correlations were carried out by Sigmaplot 12.5 (Systat Software Inc., CA, USA). Significance level was set 607 at *P* ≤ 0.05. 608

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## 611 Figure legends

**Fig. 1.** Crown root number (CN) of maize at 35 DAP in greenhouse mesocosms (A) and at anthesis in the field (B) under high and low P. The data shown are means of four replications of four genotypes ( $\pm$  SE) in each phenotypic class of either large CN or small CN. Different letters represent significant differences compared within a panel at the level of  $\alpha$  = 0.05. HP = high P, LP = low P, LCN = large CN, SCN = small CN.

**Fig. 2.** Crown root number per node of maize at 35 DAP in greenhouse mesocosms (A) and at anthesis in the field (B) under high and low P. The data shown are means of four replications of four genotypes ( $\pm$  SE) in each phenotypic class of either large CN or small CN. Different letters represent significant differences ( $P \le 0.05$ ) compared within each node. HP = high P, LP = low P, LCN = large CN, SCN = small CN.

**Fig. 3.** Root length density (cm cm<sup>-3</sup>) of maize at 35 DAP in greenhouse mesocosms under high phosphorus (A) and low phosphorus (B), and at anthesis in the field under high phosphorus (C) and low phosphorus (D). The data shown are means of four replications of four genotypes in each phenotypic category ( $\pm$  SE). The average values of D<sub>75</sub> for four replications of four larger CN and four small CN genotypes are shown in each panel. \**P* ≤ 0.05, \*\**P* ≤ 0.01. LCN = large CN, SCN = small CN.

**Fig. 4.** Correlations between crown root number and rooting depth ( $D_{75}$ , cm) and root length density (cm cm<sup>-3</sup>) from 0-20 cm soil depth of maize at 35 DAP in greenhouse mesocosms (A, C), and from 0-10 cm soil depth at anthesis in the field (B, D) under low phosphorus conditions. Each point is the mean of four replications of each genotype (± SE).

Fig. 5. Leaf photosynthesis ( $\mu$ mol CO<sub>2</sub> m<sup>-2</sup> s<sup>-1</sup>) and leaf stomatal conductance 636 (mmol  $H_2O \text{ m}^{-2} \text{ s}^{-1}$ ) of maize at 35 DAP in greenhouse mesocosms (A. C), at 637 anthesis in the field (B, D) as well as root respiration (nmol  $CO_2$  cm<sup>-1</sup> s<sup>-1</sup>) of 638 maize at 35 DAP in greenhouse mesocosms (E) under high and low P. The 639 data shown are means of four replications of four genotypes in each 640 641 phenotypic category (± SE). Different letters represent significant differences compared within a panel at the level of  $\alpha$  = 0.05. HP = high P, LP = low P, LCN 642 643 = large CN, SCN = small CN.

**Fig. 6.** Leaf P concentration (mg g<sup>-1</sup>) of maize at 35 DAP in greenhouse mesocosms (A) and at anthesis in the field (B) under high and low P conditions. The data shown are means of four replications of four genotypes in each phenotype category  $\pm$  SE. Different letters represent significant differences within a panel at the level of  $\alpha$  = 0.05. HP = high P, LP = low P, LCN = large CN, SCN = small CN.

**Fig. 7.** Correlations between crown root number and leaf P concentration (mg  $g^{-1}$ ) of maize at 35 DAP in greenhouse mesocosm (A), and at anthesis in the field (B) under low P conditions. Each point is means of four replicates of each

653 genotype ± SE.

**Fig. 8.** Phosphorus acquisition (mg plant<sup>-1</sup>) (A) and P acquisition efficiency ( $\mu$ g m<sup>-1</sup> root length plant<sup>-1</sup>) (B) of maize at 35 DAP in greenhouse mesocosms under high and low P conditions. The data shown are means of four replications of four genotypes in each phenotype category ±SE. Different letters represent significant differences within a panel at the level of  $\alpha$  = 0.05. HP = high P, LP = low P, LCN = large CN, SCN = small CN.

**Fig. 9.** Correlations between crown root number and P acquisition (mg plant<sup>-1</sup>) (A) and P acquisition efficiency ( $\mu$ g m<sup>-1</sup> root length plant<sup>-1</sup>) (B) of maize at 35 DAP in greenhouse mesocosm under low P conditions. Each point is means of four replicates of each genotype ±SE.

**Fig. 10.** Shoot biomass (g plant<sup>-1</sup>) of maize at 35 DAP in greenhouse mesocosms (A) and at anthesis in the field (B), and grain yield (g plant<sup>-1</sup>) at maturity in the field (C) under high and low P conditions. Data shown are means of four replications of four genotypes in each phenotype category  $\pm$  SE. Different letters represent significant differences within a panel at the level of  $\alpha$ = 0.05. HP = high P, LP = low P, LCN = large CN, SCN = small CN.

**Fig. 11.** Correlations between crown root number and shoot biomass of maize at 35 DAP in greenhouse mesocosms (A) and at anthesis in the field (B), and grain yield at maturity in the field (C) under low P conditions. Each point is the mean of four replicates of each genotype  $\pm$  SE.

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